

OBERWEIS SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.04	0.00	27.96	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC (NITE)	27.72	29.41	0.00	24.24	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Citadel Securities LLC (CDRG)	23.76	25.00	0.00	21.21	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	15.84	19.12	0.00	9.09	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	15.84	19.12	0.00	9.09	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
New York Stock Exchange (NYSE)	5.94	0.00	0.00	18.18	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial INC. (INTL)	4.95	5.88	0.00	3.03	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Cboe EDGX US Equities Exchange (EDGX)	1.98	0.00	0.00	6.06	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Members Exchange (MEMX)	1.98	0.00	0.00	6.06	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Instinet BlockCross (BLKX)	0.99	1.47	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NASDAQ Execution	0.99	0.00	0.00	3.03	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Services, LLC (NSDQ)													

Material Aspects:

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Jane Street Capital, LLC (JNST):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

New York Stock Exchange (NYSE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

StoneX Financial INC. (INTL):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Members Exchange (MEMX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Instinet BlockCross (BLKX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

July 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	85.71	2.86	11.43	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC (NITE)	24.41	31.11	50.00	3.03	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	21.26	24.44	25.00	12.12	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	18.11	21.11	0.00	12.12	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	16.54	22.22	0.00	3.03	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
New York Stock Exchange (NYSE)	16.54	0.00	0.00	63.64	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Instinet BlockCross (BLKX)	0.79	0.00	25.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Cboe EDGX US Equities Exchange (EDGX)	0.79	0.00	0.00	3.03	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial INC. (INTL)	0.79	1.11	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NASDAQ Execution Services, LLC (NSDQ)	0.79	0.00	0.00	3.03	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Citadel Securities LLC (CDRG):

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Jane Street Capital, LLC (JNST):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

New York Stock Exchange (NYSE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Instinet BlockCross (BLKX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

StoneX Financial INC. (INTL):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

July 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	21.99	6.02	70.78	1.20

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	46.22	58.90	35.00	43.40	33.33	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Services LLC (WEXX)	23.26	0.00	5.00	32.34	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Dash Financial Technologies LLC (DASH)	19.94	21.92	20.00	19.15	33.33	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna Capital Group (SUSQ)	6.65	10.96	25.00	3.40	33.33	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley & Company LLC (MSCO)	3.93	8.22	15.00	1.70	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Wolverine Execution Services LLC (WEXX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Dash Financial Technologies LLC (DASH):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Susquehanna Capital Group (SUSQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Morgan Stanley & Company LLC (MSCO):

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August 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	94.23	0.00	5.77	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	29.09	32.65	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
VIRTU Americas, LLC (NITE)	27.27	30.61	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	20.00	22.45	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	12.73	14.29	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
New York Stock Exchange (NYSE)	5.45	0.00	0.00	50.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Cboe EDGX US Equities Exchange (EDGX)	3.64	0.00	0.00	33.33	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NASDAQ Execution Services, LLC (NSDQ)	1.82	0.00	0.00	16.67	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

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Jane Street Capital, LLC (JNST):

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New York Stock Exchange (NYSE):

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Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

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August 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	78.10	7.62	14.29	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	23.01	25.00	22.22	12.50	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
VIRTU Americas, LLC (NITE)	23.01	26.14	22.22	6.25	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	18.58	21.59	22.22	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	18.58	19.32	22.22	12.50	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Instinet BlockCross (BLKX)	6.19	6.82	11.11	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Cboe EDGX US Equities Exchange (EDGX)	5.31	0.00	0.00	37.50	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Members Exchange (MEMX)	1.77	0.00	0.00	12.50	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NASDAQ Execution Services, LLC (NSDQ)	1.77	0.00	0.00	12.50	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities, LLC (SOHO)	1.77	1.14	0.00	6.25	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

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VIRTU Americas, LLC (NITE):

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G1 Execution Services, LLC (ETMM):

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Jane Street Capital, LLC (JNST):

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Instinet BlockCross (BLKX):

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Members Exchange (MEMX):

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NASDAQ Execution Services, LLC (NSDQ):

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Two Sigma Securities, LLC (SOHO):

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August 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	19.49	5.15	75.37	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	39.42	41.51	46.67	38.35	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Services LLC (WEXX)	26.28	1.89	13.33	33.50	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Dash Financial Technologies LLC (DASH)	17.88	24.53	6.67	16.99	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna Capital Group (SUSQ)	11.68	30.19	26.67	5.83	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley & Company LLC (MSCO)	4.74	1.89	6.67	5.34	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Wolverine Execution Services LLC (WEXX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Dash Financial Technologies LLC (DASH):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Susquehanna Capital Group (SUSQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Morgan Stanley & Company LLC (MSCO):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

September 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.55	3.23	3.23	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC (NITE)	27.27	30.00	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Citadel Securities LLC (CDRG)	24.24	26.67	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	24.24	26.67	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	15.15	13.33	50.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Instinet BlockCross (BLKX)	6.06	3.33	50.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Cboe EDGX US Equities Exchange (EDGX)	3.03	0.00	0.00	100.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Jane Street Capital, LLC (JNST):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Instinet BlockCross (BLKX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

September 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	63.71	5.65	30.65	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC (NITE)	24.26	33.33	16.67	9.30	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Citadel Securities LLC (CDRG)	20.59	24.69	16.67	13.95	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	15.44	17.28	0.00	16.28	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	15.44	19.75	25.00	4.65	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Cboe EDGX US Equities Exchange (EDGX)	8.82	0.00	0.00	27.91	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Instinet BlockCross (BLKX)	5.15	2.47	41.67	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NASDAQ Execution Services, LLC (NSDQ)	4.41	0.00	0.00	13.95	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
New York Stock Exchange (NYSE)	2.94	0.00	0.00	9.30	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE Arca (ARCA)	1.47	0.00	0.00	4.65	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial INC. (INTL)	0.74	1.23	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

VIRTU Americas, LLC (NITE):

[Insert discussion of the material aspects of the relationship with this venue pursuant to rule 606(a)(1)(iv).]

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Jane Street Capital, LLC (JNST):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Instinet BlockCross (BLKX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

New York Stock Exchange (NYSE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NYSE Arca (ARCA):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

StoneX Financial INC. (INTL):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

September 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	12.84	6.87	80.00	0.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	39.10	46.51	48.00	36.84	100.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Services LLC (WEXX)	27.76	0.00	12.00	33.83	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Dash Financial Technologies LLC (DASH)	19.70	11.63	20.00	21.05	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna Capital Group (SUSQ)	8.36	34.88	16.00	3.38	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley & Company LLC (MSCO)	5.07	6.98	4.00	4.89	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Wolverine Execution Services LLC (WEXX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Dash Financial Technologies LLC (DASH):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Susquehanna Capital Group (SUSQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Morgan Stanley & Company LLC (MSCO):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.