

# OBERWEIS SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

Generated on Wed Apr 10 2024 04:48:33 GMT-0500 (Central Daylight Time)

1st Quarter, 2024

January 2024

## S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 90.77                                     | 0.00  | 9.23  | 0.00                                     |

### Venues

| Venue - Non-Directed Order Flow       | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| VIRTU Americas, LLC (NITE)            | 28.99                   | 33.33             | 0.00                        | 12.50                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Citadel Securities LLC (CDRG)         | 24.64                   | 28.07             | 0.00                        | 12.50                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Jane Street Capital, LLC (JNST)       | 17.39                   | 21.05             | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| G1 Execution Services, LLC (ETMM)     | 13.04                   | 15.79             | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Instinet BlockCross (BLKX)            | 5.80                    | 0.00              | 0.00                        | 0.00                            | 100.00           | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Cboe EDGX US Equities Exchange (EDGX) | 2.90                    | 0.00              | 0.00                        | 25.00                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Members Exchange (MEMX)               | 2.90                    | 0.00              | 0.00                        | 25.00                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| NASDAQ Execution Services, LLC (NSDQ) | 2.90                    | 0.00              | 0.00                        | 25.00                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Two Sigma Securities, LLC (SOHO)      | 1.45                    | 1.75              | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

**Material Aspects:**

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Citadel Securities LLC (CDRG):

[Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Jane Street Capital, LLC (JNST):

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Cboe EDGX US Equities Exchange (EDGX):

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Members Exchange (MEMX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

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Two Sigma Securities, LLC (SOHO):

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## January 2024

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### Non-S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 72.92                                     | 9.38  | 17.71   | 0.00                                     |

#### Venues

| Venue - Non-directed Order Flow   | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-----------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities LLC (CDRG)     | 35.64                   | 38.57             | 33.33                       | 33.33                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| VIRTU Americas, LLC (NITE)        | 19.80                   | 25.71             | 22.22                       | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| G1 Execution Services, LLC (ETMM) | 18.81                   | 22.86             | 22.22                       | 5.56                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Jane Street Capital, LLC (JNST)   | 9.90                    | 11.43             | 22.22                       | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Cboe EDGX US Equities Exchange    | 4.95                    | 0.00              | 0.00                        | 27.78                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

| Venue - Non-directed Order Flow       | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| (EDGX)                                |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |
| Instinet BlockCross (BLKX)            | 3.96                    | 0.00              | 0.00                        | 0.00                            | 100.00           | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| NASDAQ Execution Services, LLC (NSDQ) | 2.97                    | 0.00              | 0.00                        | 16.67                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Members Exchange (MEMX)               | 1.98                    | 0.00              | 0.00                        | 11.11                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Choe BZX U.S (BATS)                   | 0.99                    | 0.00              | 0.00                        | 5.56                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Two Sigma Securities, LLC (SOHO)      | 0.99                    | 1.43              | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

**Material Aspects:**

**Citadel Securities LLC (CDRG):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**VIRTU Americas, LLC (NITE):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**G1 Execution Services, LLC (ETMM):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**Jane Street Capital, LLC (JNST):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**Choe EDGX US Equities Exchange (EDGX):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**Instinet BlockCross (BLKX):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**NASDAQ Execution Services, LLC (NSDQ):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**Members Exchange (MEMX):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**Choe BZX U.S (BATS):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

**Two Sigma Securities, LLC (SOHO):**

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

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**January 2024**

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**Options**

**Summary**

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 51.74                                     | 5.47  | 41.29   | 1.49                                     |

#### Venues

| Venue - Non-directed Order Flow         | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities LLC (CDRG)           | 44.78                   | 41.35             | 75.00                       | 42.68                           | 100.00           | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Susquehanna Capital Group (SUSQ)        | 24.38                   | 33.65             | 8.33                        | 15.85                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Dash Financial Technologies LLC (DASH)  | 18.41                   | 21.15             | 0.00                        | 18.29                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Wolverine Execution Services LLC (WEXX) | 8.96                    | 0.00              | 8.33                        | 20.73                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Morgan Stanley & Company LLC (MSCO)     | 3.48                    | 3.85              | 8.33                        | 2.44                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

#### Material Aspects:

Citadel Securities LLC (CDRG):

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Susquehanna Capital Group (SUSQ):

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Dash Financial Technologies LLC (DASH):

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Wolverine Execution Services LLC (WEXX):

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Morgan Stanley & Company LLC (MSCO):

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## February 2024

### S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 79.71                                     | 2.90  | 17.39   | 0.00                                     |

#### Venues

| Venue - Non-directed Order Flow       | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities LLC (CDRG)         | 22.35                   | 30.91             | 50.00                       | 4.35                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| G1 Execution Services, LLC (ETMM)     | 22.35                   | 32.73             | 0.00                        | 4.35                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| VIRTU Americas, LLC (NITE)            | 14.12                   | 20.00             | 50.00                       | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| New York Stock Exchange (NYSE)        | 10.59                   | 0.00              | 0.00                        | 39.13                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Jane Street Capital, LLC (JNST)       | 8.24                    | 10.91             | 0.00                        | 4.35                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Cboe EDGX US Equities Exchange (EDGX) | 7.06                    | 0.00              | 0.00                        | 26.09                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Instinet BlockCross (BLKX)            | 5.88                    | 0.00              | 0.00                        | 0.00                            | 100.00           | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| NASDAQ Execution Services, LLC (NSDQ) | 3.53                    | 0.00              | 0.00                        | 13.04                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Two Sigma Securities, LLC (SOHO)      | 3.53                    | 5.45              | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Members Exchange (MEMX)               | 2.35                    | 0.00              | 0.00                        | 8.70                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

#### Material Aspects:

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##### New York Stock Exchange (NYSE):

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## February 2024

### Non-S&P 500 Stocks

#### Summary

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|--|---|---|---|--|
| 100.00                                 | 76.70                                     | 4.85  | 17.48   | 0.97                                     |

#### Venues

| Venue - Non-directed Order Flow       | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities LLC (CDRG)         | 21.09                   | 31.65             | 60.00                       | 3.45                            | 20.00            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| NASDAQ Execution Services, LLC (NSDQ) | 19.05                   | 0.00              | 0.00                        | 48.28                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| VIRTU Americas, LLC (NITE)            | 14.97                   | 27.85             | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| G1 Execution Services, LLC (ETMM)     | 12.24                   | 21.52             | 20.00                       | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Jane Street Capital, LLC (JNST)       | 11.56                   | 18.99             | 20.00                       | 1.72                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| New York Stock Exchange (NYSE)        | 8.84                    | 0.00              | 0.00                        | 22.41                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Cboe EDGX US Equities Exchange (EDGX) | 4.76                    | 0.00              | 0.00                        | 12.07                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Instinet BlockCross (BLKX)            | 2.72                    | 0.00              | 0.00                        | 0.00                            | 80.00            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Members Exchange (MEMX)               | 2.72                    | 0.00              | 0.00                        | 6.90                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| NYSE Arca (ARCA)                      | 1.36                    | 0.00              | 0.00                        | 3.45                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

**Material Aspects:**

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Jane Street Capital, LLC (JNST):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

New York Stock Exchange (NYSE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Instinet BlockCross (BLKX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Members Exchange (MEMX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NYSE Arca (ARCA):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

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**February 2024**

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**Options****Summary**

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 49.59                                     | 4.10  | 45.49   | 0.82                                     |

**Venues**

| Venue - Non-directed Order Flow        | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|--|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities LLC (CDRG)          | 55.47                   | 59.23             | 60.00                       | 51.75                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Susquehanna Capital Group (SUSQ)       | 15.63                   | 23.85             | 30.00                       | 4.39                            | 50.00            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Dash Financial Technologies LLC (DASH) | 14.06                   | 10.77             | 10.00                       | 17.54                           | 50.00            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Wolverine Execution Services LLC       | 11.33                   | 1.54              | 0.00                        | 23.68                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

| Venue - Non-directed Order Flow     | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|-------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| (WEXX)                              |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |
| Morgan Stanley & Company LLC (MSCO) | 3.52                    | 4.62              | 0.00                        | 2.63                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

### Material Aspects:

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Susquehanna Capital Group (SUSQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Dash Financial Technologies LLC (DASH):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Wolverine Execution Services LLC (WEXX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Morgan Stanley & Company LLC (MSCO):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

## March 2024

### S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 80.00                                     | 3.64  | 16.36   | 0.00                                     |

#### Venues

| Venue - Non-directed Order Flow       | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| VIRTU Americas, LLC (NITE)            | 27.87                   | 36.36             | 50.00                       | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Citadel Securities LLC (CDRG)         | 24.59                   | 29.55             | 0.00                        | 15.38                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Jane Street Capital, LLC (JNST)       | 16.39                   | 20.45             | 50.00                       | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Cboe EDGX US Equities Exchange (EDGX) | 9.84                    | 0.00              | 0.00                        | 46.15                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| G1 Execution                          | 8.20                    | 11.36             | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |



| Venue - Non-directed Order Flow       | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Services, LLC (ETMM)                  |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |
| NASDAQ Execution Services, LLC (NSDQ) | 4.92                    | 0.00              | 0.00                        | 23.08                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Instinet BlockCross (BLKX)            | 3.28                    | 0.00              | 0.00                        | 0.00                            | 100.00           | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Members Exchange (MEMX)               | 3.28                    | 0.00              | 0.00                        | 15.38                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| UBS Securities, LLC (UBSS)            | 1.64                    | 2.27              | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

### Material Aspects:

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Jane Street Capital, LLC (JNST):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Instinet BlockCross (BLKX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Members Exchange (MEMX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

UBS Securities, LLC (UBSS):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

## March 2024

### Non-S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 84.62                                     | 5.49  | 7.69  | 2.20                                     |

## Venues

| Venue - Non-directed Order Flow       | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| VIRTU Americas, LLC (NITE)            | 30.43                   | 33.77             | 20.00                       | 14.29                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Citadel Securities LLC (CDRG)         | 27.17                   | 29.87             | 20.00                       | 0.00                            | 33.33            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| G1 Execution Services, LLC (ETMM)     | 23.91                   | 24.68             | 40.00                       | 0.00                            | 33.33            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Jane Street Capital, LLC (JNST)       | 8.70                    | 7.79              | 20.00                       | 14.29                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Members Exchange (MEMX)               | 3.26                    | 0.00              | 0.00                        | 42.86                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Two Sigma Securities, LLC (SOHO)      | 2.17                    | 2.60              | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Instinet BlockCross (BLKX)            | 1.09                    | 0.00              | 0.00                        | 0.00                            | 33.33            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Cboe EDGX US Equities Exchange (EDGX) | 1.09                    | 0.00              | 0.00                        | 14.29                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| NASDAQ Execution Services, LLC (NSDQ) | 1.09                    | 0.00              | 0.00                        | 14.29                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| UBS Securities, LLC (UBSS)            | 1.09                    | 1.30              | 0.00                        | 0.00                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

### Material Aspects:

VIRTU Americas, LLC (NITE):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

G1 Execution Services, LLC (ETMM):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Jane Street Capital, LLC (JNST):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Members Exchange (MEMX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Two Sigma Securities, LLC (SOHO):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Instinet BlockCross (BLKX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Cboe EDGX US Equities Exchange (EDGX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

NASDAQ Execution Services, LLC (NSDQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

UBS Securities, LLC (UBSS):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

## March 2024

### Options

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 32.37                                     | 8.21  | 57.97   | 1.45                                     |

#### Venues

| Venue - Non-directed Order Flow         | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities LLC (CDRG)           | 48.31                   | 53.73             | 42.11                       | 47.46                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Dash Financial Technologies LLC (DASH)  | 18.84                   | 22.39             | 21.05                       | 16.10                           | 33.33            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Susquehanna Capital Group (SUSQ)        | 14.49                   | 17.91             | 31.58                       | 8.47                            | 66.67            | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Wolverine Execution Services LLC (WEXX) | 12.08                   | 0.00              | 0.00                        | 21.19                           | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |
| Morgan Stanley & Company LLC (MSCO)     | 6.28                    | 5.97              | 5.26                        | 6.78                            | 0.00             | 0  | 0.0000  | 0  | 0.0000  | 0  | 0.0000  | 0   | 0.0000   |

#### Material Aspects:

Citadel Securities LLC (CDRG):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Dash Financial Technologies LLC (DASH):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Susquehanna Capital Group (SUSQ):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Wolverine Execution Services LLC (WEXX):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.

Morgan Stanley & Company LLC (MSCO):

Oberweis Securities, Inc. ("OSI") routes all of its SEC Rule 606 eligible orders to its clearing firm, National Financial Services LLC ("NFS"). OSI does not receive any payment for order flow from the venues listed in this disclosure. For additional information about NFS's payment for order flow practices, please see NFS's SEC Rule 606 disclosure.